Exploiting the Time-Reversal Operator for Adaptive Optics, Selective Focusing, and Scattering Pattern Analysis

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We report on the experimental measurement of the backscattering matrix of a weakly scattering medium in optics, composed of a few dispersed gold nanobeads. The decomposition of the time-reversal operator is applied to this matrix and we demonstrate selective and efficient focusing on individual scatterers, even through an aberrating layer. Moreover, we show that this approach provides the decomposition of the scattering pattern of a single nanoparticle. These results open important perspectives for optical imaging, characterization, and selective excitation of nanoparticles.

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The propagation of waves in inhomogeneous media is a fundamental problem which implies applications in many domains of wave physics, ranging from optics or acoustics to solid-state physics, seismology, medical imaging, or telecommunications. Conventional focusing and imaging techniques based on the Born approximation generally fail in aberrating or strongly scattering media due to the phase distortions and the multiple scattering events undergone by the incident wave front. Recent advances in light manipulation techniques have stimulated great progress in optical focusing and imaging through complex media. Following pioneering works in ultrasound [1,2], Vellekoop and Mosk [3] showed how light can be focused spatially through a strongly scattering medium by actively shaping the incident wave front with a spatial light modulator (SLM). Several groups [4–6] have recently extended this approach to the time domain and demonstrated the spatiotemporal focusing of femtosecond pulses through highly scattering media.

A general treatment of such linear complex media is provided by the scattering matrix formalism, as defined in [7] for instance. For ultrasound or microwaves, such a formalism is particularly appropriate as the wave field can be generated and detected by arrays of independent elements. Popoff et al. [8,9] recently extended this method to optics and measured the transmission matrix for light between a SLM (emission) and a CCD camera (reception) through a scattering medium. The latter is a subpart of the scattering matrix linking the forward scattered field to the incident field. The experimental access to this transmission matrix is particularly important since it contains much information on the propagation medium. In particular, it should give access to the open channels that allow one to transmit light through the scattering sample [7,10,11].

In this Letter, we study another subpart of the scattering matrix: the backscattering matrix $K$ (BM). The knowledge of the BM brings more fundamental insight into the medium. Initially developed for ultrasound [12–14] and then extended to microwaves [15,16], the DORT method (French acronym for the decomposition of the time-reversal operator) takes advantage of the BM to focus iteratively by time-reversal processing on each scatterer of a multitarget medium. Derived from the theoretical study of the iterative time-reversal process, this approach relies on the diagonalization of the time-reversal operator $KK^\dagger$. In the single scattering regime, a one-to-one association between each eigenstate and each scatterer allows focusing on each scatterer by transmitting the computed eigenvectors. Technically, a singular value decomposition (SVD) of the BM is used to compute the eigenstates of the time-reversal operator. In this Letter, we demonstrate the DORT method in the optical regime and show its efficiency in weakly scattering media. An experimental setup is proposed to record the BM in optics. Using the DORT method, we perform selective focusing on 100 nm gold nanoparticles through an aberrating medium, opening an original alternative to conventional adaptive optics [17]. In a second part, we show how the DORT method can be used to discriminate and selectively excite the different scattering patterns of a single nanoparticle, hence paving the way towards better characterization, imaging, and control of nano-objects, for instance, in plasmonics [18] or for super-resolution [19].

The experimental setup used for the measurement of the BM is displayed in Fig. 1. To generate the incident wave front, the beam from a single mode laser at 532 nm is expanded and spatially modulated by a liquid crystal SLM. Using a polarizer and an analyzer, we choose an appropriate combination of polarizations before and after the SLM to achieve a $2\pi$-phase modulation with a residual amplitude modulation below 15%. Light is focused onto the surface of a glass slide on which a dilute solution of 100 nm isotropic gold beads is deposited. We image the output backscattered field pattern onto a first CCD camera (CCD1) thanks to a beam splitter. To minimize specular reflections, the two polarizers are in a cross-polarized...
configuration. A reference arm can be used to make the backscattered field interfere with a plane wave onto CCD1. The system is set such that a pixel of the SLM corresponds approximately to an incident \( \mathbf{k} \) vector. An aberrating slab is placed 0.5 mm from the image plane between the scattering sample and the objective. It consists in an irregular PDMS polymer layer with a mean thickness of 200 \( \mu \)m deposited on a cover glass. The CCD1 records the image of the intensity in the plane containing the gold particles, with and without the aberrator. For control purposes, another CCD camera (CCD2) images the same plane in transmission with a 600 \( \times \) 600 mm immersion objective (NA = 1.4). Analyzers \( P_2 \) and \( P_3 \) are oriented in a cross-polarized configuration. In order to maximize the interference signal onto CCD1, a half-wave plate (\( \lambda/2 \)) is set in the reference arm such that the linear polarization of the reference beam matches the orientation of \( P_3, L, \) lens. BS, beam splitter.

A SVD of the BM consists in writing \( \mathbf{K} = \mathbf{U} \Sigma \mathbf{V}^\dagger \), where the superscript \( \dagger \) denotes the transpose conjugate. \( \Lambda \) is a diagonal matrix containing the real positive singular values \( \lambda_i \) in a decreasing order \( \lambda_1 > \lambda_2 > \cdots > \lambda_N \). \( \mathbf{U} \) and \( \mathbf{V} \) are unitary matrices whose columns correspond to the output and input singular vectors \( \mathbf{U}_i \) and \( \mathbf{V}_i \), respectively. The DORT method consists in using the SVD of the BM to efficiently detect and separate the responses of several scatterers in homogeneous or weakly heterogeneous media [13]. Indeed, under the single scattering approximation and for pointlike scatterers, each scatterer is associated mainly to one significant eigenstate. The latter is linked to a non-zero singular value \( \lambda_i \) that relates to the scatterer reflectivity. In first approximation, each singular vector \( \mathbf{V}_i \) of \( \mathbf{K} \) corresponds to the complex amplitude mask which, when applied to the SLM, focuses onto the associated scatterer.

Figures 2 and 3 show the experimental results of the DORT method applied to the BM matrix when several 100 nm gold beads are dispersed on the slide placed behind an aberrating layer. For this experiment, we have used the field generated by the unmodulated part of the illumination as the reference for the interferometric measurement rather than the plane wave from the reference arm. This method detailed in [8] allows for a better stability without being detrimental to focusing applications. The numbers of pixels have been fixed to \( N = M = 1024 \). Figure 2(a) shows the image measured by CCD1 in reflection when a plane wave is sent from the SLM. The image of the medium is drastically damaged by the aberrating layer: the number of gold beads as well as their position and reflectivity cannot be deduced from this image. In contrast, the DORT method gives an unambiguous answer to these questions. The singular value distribution [Fig. 2(b)] of the BM exhibits two parts. The continuum of low singular values is associated to the noise in the BM (residual specular reflections, laser fluctuations, CCD readout noise, etc.). A few higher singular values are associated to the brightest gold nanoparticles since the singular value is directly proportional to the reflectivity of the scatterer. The input singular vector \( \mathbf{V}_i \) corresponds to the wave front focusing on the \( i \)th particle and the output singular vector \( \mathbf{U}_i \) is the corresponding scattering pattern measured by CCD1 in reflection [see Figs. 2(c)–2(f)]. Figures 2(g)–2(j) correspond to the control images measured in transmission by CCD2, when the first four singular vectors \( \mathbf{V}_i \) are backpropagated from the SLM. These images show the selective focusing performed by DORT: each singular vector backpropagates selectively on one gold bead without illuminating the other ones. Quantitatively, an intensity enhancement of \( \sim 20 \) is observed on the four gold beads’ position when DORT focusing is performed compared to plane wave illumination. Because of the linearity of the problem, one can also use a combination of two or more singular vectors to focus light simultaneously on several particles.

Note that the DORT method is only valid in the single scattering regime [12]. The relevant parameter to fix the limit of our approach is the scattering mean free path \( l_s = 1/(c\sigma) \), with \( c \) the concentration of nanoparticles and \( \sigma \) their scattering cross section. When \( l_s \) becomes smaller than the thickness \( L \) of the sample, multiple
scattering starts to predominate and the one-to-one association between eigenstates of $K$ and scatterers is no longer valid. In this case, the DORT method would not show any selective focusing ability.

Figure 3 shows explicitly the effect of aberrations by comparing the singular vectors $V_1$ and $U_1$ (associated to the brightest scatterer) with and without the aberrating layer. Figures 3(b) and 3(c) display the phase of $V_1$ on the SLM in both situations. In free space, the wave front focusing on a particle corresponds to a Fresnel phase zone plate [Fig. 3(b)]. In contrast, in the presence of an aberrating layer [Fig. 3(c)], $V_1$ is strongly modified to compensate for the wave front distortions. Figures 3(a) and 3(d) display a zoom on the corresponding focal spots recorded by CCD1. The comparison of both figures shows the damaging effect of the aberrating layer and highlights the benefits of the DORT method in this configuration.

Thus far, we have made the assumption that each scatterer is only associated to one single singular value. However, this is not strictly true: there can be several singular values associated to a single scatterer, each one corresponding to a different scattering pattern of the particle [20–22]. To investigate the different eigenstates associated to one nanoparticle, we now study analytically and experimentally the DORT analysis of the BM without any aberrating layer and with only one gold particle in the observation area.

Let us assume that the incident field is linearly polarized along $x$, $z$ is the propagation axis, and $y$ the polarization observed in reflection (cross-polarized configuration). The BM can be decomposed into the product of three matrices: $K = K' \cdot \vec{\alpha} \cdot K''$. Here $K'$ is a $3 \times N$ matrix describing the propagation of light from the $N$ pixels of the SLM to the scatterer. The three lines of $K'$ correspond to the different orientations of the electric field at the particle position. $\vec{\alpha}$ is the polarizability tensor of the particle linking the local electric field to the dipole moment $p$ of the particle. $K''$ is a $M \times 3$ matrix which connects the dipole moment $p$
to the $y$ component of the electric field measured on the $M$ pixels of CCD1. We will assume that the particle is isotropic: $\hat{\alpha} = \alpha \mathbf{I}$, with $\alpha$ the particle polarizability and $\mathbf{I}$ the identity matrix.

The electric field illuminating the particle in the focal plane can be deduced from Refs. [23,24]. This leads to the following expression for the coefficients of $\mathbf{K}'$:

$$k_{ij} = \sqrt{\cos \theta_j [\cos \theta_j + (1 - \cos \theta_j) \sin^2 \phi_j]} \times e^{i(2\pi/\lambda') \rho_j \cos \epsilon_j \Omega_j},$$  
(1)

$$k_{2j} = \sqrt{\cos \theta_j (1 - \cos \theta_j) \cos \phi_j \sin \phi_j e^{i(2\pi/\lambda') \rho_j \cos \epsilon_j \Omega_j}},$$  
(2)

$$k_{3j} = \sqrt{\cos \theta_j \sin \phi_j \cos \phi_j e^{i(2\pi/\lambda') \rho_j \cos \epsilon_j \Omega_j}},$$  
(3)

with $\epsilon_j = \sin \theta_j \cos (\phi_j - \phi_3)$. $\phi_3$ and $\rho_3$ are the polar coordinates of the particle in the focal plane [see Fig. 4(a)] and $\lambda'$ is the wavelength in the immersion medium. $\theta_j$ is the angle made by the $k$ vector associated to the $j$th element of the SLM with the optical axis [see Fig. 4(a)], $\phi_j$ is the angular coordinate of the $j$th pixel in the SLM plane. $\Omega_j \propto \cos \theta_j$ is the solid angle that the $j$th pixel subtends at the particle position.

The $y$ component of the backscattered field can also be deduced from the calculations of [23] and leads to the following expression for $K''_i$:

$$k''_{1j} = \sin(2\phi_k) I_2(\rho_k),$$  
(4)

$$k''_{2j} = I_0(\rho_k) + I_2(\rho_k) \cos(2\phi_k),$$  
(5)

$$k''_{3j} = 2 j \sin(\phi_k) I_1(\rho_k).$$  
(6)

$\rho_k$ and $\phi_k$ are the polar coordinates of the $k$th pixel of CCD1 [see Fig. 4(b)]. The functions $I_0$, $I_1$, and $I_2$ are given by

$$I_0(\rho) = \int_0^{\theta_{\text{max}}} \sqrt{\cos \theta' \sin \theta'(1 + \cos \theta')} J_0 \frac{2\pi\rho}{\lambda} \sin \theta' \ d\theta',$$  
(7)

$$I_1(\rho) = \int_0^{\theta_{\text{max}}} \sqrt{\cos \theta' \sin^2 \theta'} J_1 \frac{2\pi\rho}{\lambda} \sin \theta' \ d\theta',$$  
(8)

$$I_2(\rho) = \int_0^{\theta_{\text{max}}} \sqrt{\cos \theta' \sin \theta'(1 - \cos \theta')} J_2 \frac{2\pi\rho}{\lambda} \sin \theta' \ d\theta',$$  
(9)

with $\lambda$ the wavelength in air. $J_0$, $J_1$, and $J_2$ are the zero, first, and second order spherical Bessel functions of the first kind, respectively, and $\theta'$ is the angle made by a beam diffracted by the particle with the optical axis before going through the microscope objective.

Hence, the BM depends on the following parameters: the position of the particle in the focal plane $(\phi_3, \rho_3)$, the numbers of SLM and CCD elements ($N$ and $M$), and the input and output maximum angles $(\theta_{\text{max}}$ and $\theta'_{\text{max}}$). $\theta'_{\text{max}}$ is directly given by the numerical aperture of the optical system, but $\theta_{\text{max}}$ can be smaller if the area of illumination is narrower than the pupil of the microscope objective. Theory predicts that the $x$, $y$, and $z$ components of the particle dipole moment $p$ give rise to different scattering patterns: monopolar for $y$ [Eq. (5)], bipolar for $z$ [Eq. (6)], and quadrupolar for $x$ [Eq. (4)] [see Fig. 4(d)]. Those scattering patterns are strictly orthogonal if they are observed over a solid angle $\Omega = 2\pi$. Hence, in theory, the output singular vectors $U_i$ of $\mathbf{K}$ should correspond to the different scattering patterns of the nanoparticle (i.e., the columns of $\mathbf{K}^\dagger$). In practice, this is not strictly true since only a limited part of the half-space is accessible in our experiment.

Our theoretical predictions are now compared to the experimental results. Because an exact measurement of the output singular vectors is needed, the complex wave field has to be measured directly. A reference arm is now used to make the backscattered wave interfere with a reference plane wave on CCD1 (see Fig. 1). The output singular vectors $U_i$ correspond then to the complex scattering patterns of the particle. The number $N$ of controlled

FIG. 4 (color online). Singular value decomposition of the experimental and theoretical BM with a single scatterer. (a),(b) Emission and recording geometry of the experiment. (c),(d) Singular value distributions of the measured and the calculated BM: The three first output singular vectors are shown in the insets.
segments on the SLM has been lowered to 16 for stability constraints over the time of the experiment. The result of the DORT analysis is shown in Fig. 4. The experimental and theoretical singular values distributions as well as the three first output singular vectors of the BM are compared in Figs. 4(c) and 4(d), respectively. The experimental values of $\theta_{\text{max}}$ and $\theta'_{\text{max}}$ have been used for our theoretical calculations ($\theta_{\text{max}} = 22^\circ$, $\theta'_{\text{max}} = 68^\circ$). The position of the particle in the focal plane is arbitrarily fixed since it has no influence on the singular values and on the output singular vectors. Our theoretical calculations predict that only the particle in the focal plane is arbitrarily fixed since it has no influence on the singular values and on the output singular vectors. The scattering pattern associated to the $y$ singular state (vector might correspond to a coupling between the second component of its energy is observed outside the particle. This singular vector might correspond to a coupling between the second singular state ($z$ component) and eigenmodes of the noise background. The scattering pattern associated to the $y$ component of the dipole moment is drowned in noise and could not be observed.

The DORT method allows us to excite independently each component of the nanoparticle dipole moment: such an experiment would require an increase in the number of SLM segments for quantitative results and a near-field scanning optical microscope to probe the near field of the nanoparticle. For larger scatterers, the singular spectrum may be even richer: more radiation modes would be excited and a better characterization of the nanoparticle could be obtained (size, position, permittivity, etc.). In particular, one could discriminate the quadrupolar radiation of the particle, which may open important perspectives in plasmonics.

In summary, we have performed an experimental measurement of the BM of a weakly scattering medium. We have used this BM to demonstrate the DORT method in optics and proved that it allows selective focusing on nanoparticles and their characterization. This approach could lead to many applications in nano-optics, sensing, or imaging and entails the interest of the BM in optics. We are confident that measuring the BM in more complex media will raise interesting problems in optics. For instance, the measurement of the BM in highly scattering media may allow the separation of the single and multiple scattering contributions [25] as well as the independent study of the coherent backscattering cone and of the diffusive halo [26].

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